

PHYSICAL REPORT OF OPERATION
As of December 31, 2015

Department : DEPARTMENT OF BUDGET AND MANAGEMENT (DBM)
Agency : Office of the Secretary (OSEC)
Operating Unit :
Organization Code (UACS) :

Current Year Appropriations
Supplemental Appropriations
Continuing Appropriations
Off-Budget Account

| Particulars | | CY 2015 PHYSICAL TARGETS (per GAA) | UACS CODE | Physical Targets | | | | | Physical Accomplishments | | | | | *Variance as of December r 31, 2015 | Remarks/ Reason for Under/Over Performance (Variance) | |
|---------------------------------------|--|---|--------------|------------------|---------|---------|---------|--------|--------------------------|---------|---------|---------|--------|---|--|--|
| | | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | | |
| Part A | | | | | | | | | | | | | | | | |
| I. OPERATIONS - MAJOR FINAL OUTPUTS | | 300000000 | | | | | | | | | | | | | | |
| MFO 1 Budget Policy Advisory Services | | | 301000000 | | | | | | | | | | | | | |
| | Number of Policy advisories submitted | 7 | | 1 | 1 | 3 | 2 | 7 | 3 | 4 | - | 6 | 13 | 6 | Q1, Q2: Based on the parameter, actual accomplishments are based on the demand/decision of the DBCC members to issue or formulate new or additional policies intended to support efforts to achieve economic and fiscal developments. Q3: Three (3) DBCC Resolutions and one (1) Ad Referendum were prepared and submitted to the Undersecretary for Policy and Strategy and to the DBCC Chair during the third quarter of the year. Q4: The FPRB adjusted quarterly distribution of its accomplishments submitted for the Q2 Physical Accomplishment from 3 to 4. This is to account separately for the two (2) policy advisories which were previously counted as one (1) in the Q2 Physical Performance Report as submitted by FPRB. For the 4th Quarter, FPRB prepared six (6) policy advisories for the DBCC. | |
| | Percentage of policy advisories rated by client as satisfactory or better | 80% | | 80% | 80% | 80% | 80% | 80% | 100% | 100% | - | 100% | 100% | 20% | | |
| | Percentage of policy advisories that are provided at least 24 hours before the deadline | 80% | | 80% | 80% | 80% | 80% | 80% | 100% | 100% | - | 100% | 100% | 20% | | |
| MFO 2 Budget Management Services | | | 302000000 | | | | | | | | | | | | | |
| | Budget Preparation | | | | | | | | | | | | | | | |
| | Number of budget documents submitted | 7 | | 0 | 0 | 7 | 0 | 7 | 0 | 1 | 6 | 0 | 7 | 0 | Q1: The Staffing Summary (OPCCB's output), Inputs to the President's Budget Message (TIS' output), and Inputs to the People's Budget - NEP (OSEC-StratComm's output) will be submitted during the 3rd Quarter of the year. Q2: 2015 People's Budget published in May (2nd Quarter) Q3: (1) The Staffing Summary (OPCCB's output) was submitted in the 3rd Quarter, (2) the 2016 PBM was submitted to Congress on July 28, (3) the 2016 People's Budget (NEP - People's Proposed Budget), and (4) Technical Notes for FY 2016 Proposed National Budget published online and distributed to relevant stakeholders in August 2015 along with the Technical Notes on the 2016 Proposed National Budget published in August 2015, (4) the NEP, and (5) the BESF are the budget documents submitted. Q4: All budget documents have been submitted on the previous Quarters. | |
| | Percentage of agencies whose budgets are amended by Congress during budget legislation | 12.50% | | - | - | - | 12.50% | 12.50% | - | - | - | 30.53% | 30.53% | 18% | | |
| | Number of days submitted to the President prior to his submission to Congress | 2 | | 0 | 0 | 2 | 0 | 2 | 0 | 0 | 4 | 0 | 4 | 2 | | |
| | Directives | | | | | | | | | | | | | | | |
| | Number of directives and guidelines issued | 16 | | 2 | 4 | 5 | 5 | 16 | 7 | 8 | 3 | 4 | 22 | 6 | Q1: BTS issued four (4) new set of guidelines which include the NBC on release of funds, the NBM budget call, and two budget preparation advisories. BMB-F issued two (2) set of directives and guidelines which include the Circular on the Conduct of the Corporate Budget Forum Tier I and CL No. 2015-3. BMB-G reported the issuance of Local Budget Memorandum No. 69 dated February 18, 2015. Q2: BTS has issued six (6) new set of guidelines which include the guidelines in the use of PS Appropriations, the NBC on the guidelines in the realignment of funds, and four (4) CLs. BMB-G reported two (2) accomplishments such as Local Budget Memorandum No. 69-A dated June 5, 2015 and Local Budget Memorandum No. 70 dated June 15, 2015. No guidelines issued by BMB-F. Q3: BTS and BMB-C which assumed responsibilities of BMB-F have not issued any set of guidelines. The data from BMB-G which was transferred to PEMB per DBM Interim Set-up is unavailable as of this reporting period. Meanwhile, PMEB delivered one (1) accomplishment as it has issued NBC 560. | |
| | Average percentage of stakeholders who rate the clarity of directives and guidelines as satisfactory or better | 85% | | 85% | 85% | 85% | 85% | 85% | - | 100% | 100% | 86% | 95% | 10% | | |
| | | | | | | | | | | | | | | | | |

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| Particulars | CY 2015 PHYSICAL TARGETS (per GAA) | UACS CODE | Physical Targets | | | | | Physical Accomplishments | | | | | *Variance as of December 31, 2015 | Remarks/ Reason for Under/Over Performance (Variance) |
|--|---|--------------|------------------|------------|------------|------------|-------|--------------------------|------------|------------|------------|-------|---|--|
| | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| Percentage of agencies which consider the average lead time between issuance and compliance as sufficient or better | 80% | | 80% | 80% | 80% | 80% | 80% | - | 98% | 96% | 76% | 89% | 10% | Q1: Per BTS report, a survey will be conducted and the results to be reflected in the coming Quarters of the year. BMB-F stated that there is no FY 2016 budget proposal submitted due to change in policy decision with regard to GOCCs. BMB-G disseminated survey questionnaires to selected LGU representatives but the ratings have not yet been received. Q2: Per BTS report a survey will be conducted and the results will be received in the coming Quarters of the year. BMB-F stated that all GOCCs with requests for budgetary support have submitted their FY 2016 budget proposals/estimates. BMB-G disseminated survey questionnaires to selected LGU representatives but the ratings have not yet been received. Q3: Per BTS report a survey will be conducted and the results will be received in the coming Quarter of the year. BMB-C which assumed BMB-F coverage stated that all GOCCs with requests for budgetary support have submitted their FY 2016 budget proposals/estimates. PEMB which assumed BMB-G coverage has an unavailable data for this Performance Indicator. Q4: Based on the result of the survey of the BTB, 86% of stakeholders rated the clarity of the directives/guidelines as satisfactory or better. For BMB for Good Governance Sector and PEMB, data is unavailable as the survey results are still being awaited. |
| Budget Execution | | | | | | | | | | | | | | |
| Percentage of request for budget variation or authorization acted upon | 86% | | 86% | 86% | 86% | 86% | 86% | 95% | 93% | 93% | 98% | 95% | 9% | Formula applied: Budget variances or authorizations requests acted upon divided by total number of requests received per Quarter. Q1: Reported Accomplishments per BMBs: BMB-A - 97.85% (274/280 requests); BMB-B - 94.76% (561/592 requests); BMB-C - 91.28% (440/482 requests); BMB-D - 96.81% (1,001/1,034 requests) and BMB-E - 93.00% (428/458 requests) Q2: Reported Accomplishments per BMBs: BMB-A - 98.38% (364/370 requests); BMB-B - 92.35% (640/693 requests); BMB-C - 91.41% (862/943 requests); BMB-D - 96.68% (1,079/1,116 requests); and BMB-E - 89.00% (462/518 requests) Q3: Reported Accomplishments per BMBs: BMB-A - 88% (386/437 requests); BMB-B - 95.35% (537/563 requests); BMB-C - 95% (151/159 requests); BMB-D - 95% (1,052/1,103 requests); and BMB-E - 91% (556/610 requests) Q4: Reported Accomplishments per BMBs: BMB-A - 95.00% (686/721 requests); BMB-B - 99.05% (626/632 requests); BMB-C - 96.00% (215/225 requests); BMB-D - 99.12% (1,008/1,017 requests); and BMB-E - 98.00% (713/725 requests) |
| Variance of actual obligations to budget program | 14% | | 14% | 14% | 14% | 14% | 14% | - | - | - | 8% | 8% | -6% | Accomplishments are reported on year-end and computed on an annual basis with BTB as the data source. The 8% actual accomplishment covers the over-all variance across all Departments. In computing for the actual accomplishment for each BMB, the 8% over-all variance was distributed on pro-rata basis. |
| Percentage of request for budget variation or authorization acted upon within 15 working days upon receipt of complete documents | 85% | | 85% | 85% | 85% | 85% | 85% | 87% | 88% | 85% | 89% | 88% | 2% | Q1: BMB-A has a 93.93% accomplishment (263/280 requests); BMB-B has a 90.37% accomplishment (535/592 requests); BMB-C has a 80.00% accomplishment (387/485 requests); BMB-D has a 91.97% accomplishment (951/1,034 requests); BMB-E has a 77.00% accomplishment (354/458 requests) (Processing of requests experienced delays due to the updating of the e-budget system, review and approval of BED No. 3 in the URS, lack of guidelines for requests concerning realignment of funds, time needed to review the list of FMRs projects nationwide, and even the PSIPOP update that was prioritized.) Q2: BMB-A has a 96.49% accomplishment (357/370 requests); BMB-B has a 91.05% accomplishment (631/693 requests); BMB-C has a 79.64% accomplishment (751/943 requests); BMB-D has a 90.77% accomplishment (1013/1116 requests); BMB-E has a 81.00% accomplishment (417/518 requests) Q3: BMB-A has a 93.93% accomplishment (263/280 requests); BMB-B has a 90.37% accomplishment (535/592 requests); BMB-C has a 80.00% accomplishment (387/485 requests); BMB-D has a 91.97% accomplishment (951/1,034 requests); BMB-E has a 80.00% accomplishment (488/610 requests) Q4: BMB-A has a 86.00% accomplishment (617/721 requests); BMB-B has a 96.20% accomplishment (608/632 requests); BMB-C has a 81.00% accomplishment (182/225 requests); BMB-D has a 96.12% accomplishment (978/1,017 requests); BMB-E has a 81.00% accomplishment (590/725 requests) |
| For GOCCs Execution | | | | | | | | | | | | | | |
| Number of GOCC corporate operating budget reviewed | 72 | | 3 | 10 | 30 | 29 | 72 | 8 | 6 | 9 | 50 | 73 | 1 | Q1: Increase in the number of GOCCs which submitted COBs (8/72) in the 1st Quarter. Q2: Decrease in the number of GOCCs which submitted COBs (6/72) in the 2nd Quarter. This period coincided with the FY 2016 budget preparation activities which was prioritized given the constitutional deadline. Q3: Redeployment of 50% of the personnel to other Bureaus affected the number of COBs reviewed for the Quarter, leaving the other half to be reviewed twice the number of their coverage GOCCs. Q4: The accomplishment includes 2 COBs evaluated but returned due to non-compliance with the required forms and incomplete submission The bureau also evaluated five (5) supplemental COBs on top of the seventy-three (73) COBs evaluated for the year. Overperformance pertains to the increase in the number of COBs evaluated (73) versus the target (72). |
| Percentage of GOCC corporate operating budget reviewed rated satisfactory or better | 72% | | 3% | 10% | 30% | 29% | 72% | 8% | 6% | 9% | 49% | 72% | 0% | Q1: There are no GOCCs which requested reconsideration of their approved COB levels during the Quarter. The 15% is computed as 8 of the 52 (72% of 72 COBs targets) COB levels approved in this Quarter. Q2: There are no GOCCs which requested reconsideration of their approved COB levels during the Quarter. The 12% is computed as 6 of the 52 (72% of 72 COBs targets) COB levels approved in this Quarter. Q3: There are no GOCCs which requested reconsideration of their approved COB levels during the Quarter. The 44% is computed as 23 of the 52 (72% of 72 COBs targets) COB levels approved in this Quarter. Q4: There is one (1) GOCCs which requested reconsideration of their approved COB levels during the Quarter. The 49% is computed as 1% x 49 COBs evaluated without request of reconsideration. Based on FY 2014 performance, the BMB-F recorded 24% overperformance from its target of 70%. For FY 2015, the BMB-F set a 72% target, providing a 2% increase from its FY 2014 target. This provided the assumption that in FY 2015, 28% of GOCCs with approved COB levels will request for reconsideration. |

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|--|-----|---|---|----------------|------------------|---------|---------|---------|-------|--------------------------|---------|---------|---------|-------|---|---|--------|--|--|----|----|-------|----|----|-------|----------------|----------------|-------|-----|-----|-----|----|----|----|--|--|--|----|----|--|--|--|--|---|----|--|
| | | | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Percentage of GOCC corporate operating budget reviews completed within 15 working days of receipt of complete documents | 100% | | 4% | 14% | 42% | 40% | 100% | 11% | 8% | 13% | 68% | 100% | 0% | Q1: Increase in the number of GOCCs which submitted COBs (8/72) in the 1st Quarter. Q2: Decrease in the number of GOCCs which submitted COBs (6/72) in the 2nd Quarter. This period coincided with the FY 2016 budget preparation activities which was prioritized given the constitutional deadline. Q3: Redeployment of 50% of the personnel to othe Bureaus affected the number of COBs reviewed for the Quarter, leaving the other half to review twice the number of their coverage GOCCs. Q4: Computed as 49/72 evaluated within 15 days in the 4th Quarter of FY 2015. It is noted that 1 out of the 50 COBs processed for the Quarter were processed beyond 15 working days. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | For LGUs | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Number of LGU budgets reviewed | 241 | | 78 | 81 | 51 | 31 | 241 | 138 | 74 | 66 | 68 | 346 | 105 | Q1, Q2, Q3, Q4: Accomplishment vis-a-vis targets as of the end of the quarter is as follows: <table><tr><th colspan="3">Target</th><th>AB</th><th>SB</th><th>Total</th></tr><tr><td>AB</td><td>SB</td><td>Total</td><td>Accomplishment</td><td>Accomplishment</td><td>Total</td></tr><tr><td>121</td><td>225</td><td>346</td><td>74</td><td>30</td><td>64</td></tr><tr><td></td><td></td><td></td><td>10</td><td>56</td><td></td></tr><tr><td></td><td></td><td></td><td>7</td><td>61</td><td></td></tr></table> | Target | | | AB | SB | Total | AB | SB | Total | Accomplishment | Accomplishment | Total | 121 | 225 | 346 | 74 | 30 | 64 | | | | 10 | 56 | | | | | 7 | 61 | |
| Target | | | AB | SB | Total | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| AB | SB | Total | Accomplishment | Accomplishment | Total | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 121 | 225 | 346 | 74 | 30 | 64 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | 10 | 56 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | 7 | 61 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Percentage of LGUs annual budgets reviewed rated satisfactory or better | 95% | | 95% | 95% | 95% | 95% | 95% | 97% | 100% | 100% | 100% | 99% | 4% | Q1: The average total accomplishment registered 97%. NCR, CAR, ROs 2, 3, 4A, 5, 6, 8, 9, 11, and 13 reported a 100% accomplishment since all the reviews on ABs were given at least satisfactory or better rating by their respective LGUs coverages. However, RO 7 has a 67% accomplishment considering that of the 3 received LGU survey forms, 2 have satisfactory or better ratings. Meanwhile, ROs 1, 4B, and 10 stated that surveys were recently distributed, but feedback/responses not yet submitted by respondent LGUs. Q2: The average total accomplishment registered 100%. ROs 6, 10, 12, and 13 stated that surveys were recently distributed, but feedback/responses not yet submitted by respondent LGUs. Q3: The average total accomplishment registered 100%. ROs NCR, 2, 4A, 4B, 5, and 11 stated that no Annual Budgets were received and reviewed. Q4: The average total accomplishment registered 100%. RO 7 got 92% accomplishment. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Percentage of LGUs budget submitted with complete documentation reviewed within 75 days | 95% | | 95% | 95% | 95% | 95% | 95% | 100% | 100% | 98% | 96% | 98% | 3% | Q1: All Regional Offices, except CAR, reported a 100% accomplishment. CAR has a 93% accomplishment since 14 out of the 15 LGU budgets were reviewed within 75 days. Q2: All Regional Offices, except RO 13, reported a 100% accomplishment. RO 13 has no AB/SB reviewed and released for the Quarter. Q3: All Regional Offices, except NCR which do not have any Annual or Supplemental Budgets reviewed and RO 10, reported a 100% accomplishment. RO10 has a 73% accomplishment since 8 out of the 11 LGU budgets were reviewed within 75 days. Q4: All Regional Offices, except NCR (94% = 16 out of 17 LGU Budgets), reported a 100% accomplishment on LGU Budgets that were reviewed within 75 days. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MFO 3 Organizational Productivity Enhancement Services | | | | 303000000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Percentage of proposals for organization, staffing, compensation, and position classification review completed | 85% | | 85% | 85% | 85% | 85% | 85% | 84% | 88% | 86% | 86% | 86% | 1% | Q1: Out of the 31 agencies on organization, staffing, position classification, and compensation (with complete submission of documents), 26 were evaluated and processed (26/31). Q2: Out of the 43 agencies on organization, staffing, position classification, and compensation (with complete submission of documents), 38 were evaluated and processed (38/43). Q3: Out of the 76 agencies on organization, staffing, position classification, and compensation (with complete submission of documents), 65 were evaluated and processed (65/76). Q3: Out of the 76 agencies on organization, staffing, position classification, and compensation (with complete submission of documents), 65 were evaluated and processed (65/76). Q4: Out of the 43 organization, staffing, position classification, and compensation (with complete submission of documents), 37 were evaluated and processed (37/43). The 1% variation was due to the effort during the 3rd Quarter to fast-track release of pending requests. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Percentage of agencies reviewed which rate the quality of review as satisfactory or better | 60% | | 60% | 60% | 60% | 60% | 60% | 96% | 92% | 97% | 100% | 96% | 36% | Q1: Out of the 26 action documents evaluated and processed; one (1) request pertains to reconsideration on organization and staffing action or 25/26. Q2: Out of the 38 action documents evaluated and processed; three (3) requests pertain to reconsideration on organization and staffing action or 35/38. Q3: Out of the 65 action documents evaluated and processed; two (2) requests pertain to reconsideration on organization and staffing action or 63/65. Q4: No request for reconsideration on organization and staffing action or 37/37. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Percentage of reviews completed within 60 days | 50% | | 50% | 50% | 50% | 50% | 50% | 77% | 87% | 88% | 89% | 85% | 35% | Q1: Out of the 26 action documents evaluated and processed; six (6) were over 60 days or 20/26. Q2: Out of the 38 action documents evaluated and processed; five (5) were over 60 days or 33/38. Q3: Out of the 65 action documents evaluated and processed; eight (8) were over 60 days or 57/65. Q4: Out of the 37 action documents evaluated and processed; four (4) were over 60 days or 33/37. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Directives and Policies | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Number of policy guidelines and directives issued | 15 | | 3 | 3 | 3 | 6 | 15 | 5 | 8 | 7 | 3 | 23 | 8 | Q1: For this Quarter, OPCCB issued five (5) sets of guidelines and policies, while SPIB reported that its targets would be accomplished within the 4th Quarter of this year. Q2: For this Quarter, OPCCB issued four (4) sets of guidelines and policies, while SPIB also reported issued four (4) set of guidelines and policies Q3: For this Quarter, OPCCB issued three (3) sets of guidelines and policies, while SPIB also reported issued four (4) set of guidelines and policies Q4: For this Quarter, OPCCB issued three (3) sets of guidelines and policies | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Average percentage of stakeholders who rate the clarity of directives and guidelines as satisfactory or better | 60% | | 60% | 60% | 60% | 60% | 60% | 93% | 90% | 85% | 86% | 88% | 28% | Q1: Out of the 54 referral actions; 4 action documents pertain to clarification on circular/guidelines issued or 50/54. Q2: Out of the 62 referral actions; 6 action documents pertain to clarification on circular/guidelines issued or 56/62. Q3: Out of the 34 referral actions; 5 action documents pertain to clarification on circular/guidelines issued or 29/34. Q4: Out of the 76 referral actions; 11 action documents pertain to clarification on circular/guidelines issued or 65/76. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| Percentage of agencies which consider the average lead time between issuance and compliance as sufficient or better | 60% | | 60% | 60% | 60% | 60% | 60% | 166% | 133% | 100% | 100% | 125% | 65% | Q1: 5 policies/guidelines issued out of the 3 targeted issuances for the 1st Quarter of FY 2015. The following two (2) additional set of policies were issued to address views/concerns related to compensation: (a) Memorandum for the Secretary of Budget and Management dated February 26, 2015 re: Draft Executive Order (EO) on the FY 2015 Productivity Enhancement Incentive (PEI) based on MPBF provisions of FY 2015 GAA (b) GMIS Advisory No. 5, 2015 on the updating/editing of the PSIPOP on the implementation of the upgrading of faculty positions pursuant to NBC No. 461. Q2: 4 set of policies/guidelines issued out of the 3 targeted issuances for the 2nd Quarter of FY 2015 or 4/3. While the OPCCB has submitted Accomplishment Reports for this indicator it has noted in its Q2 report that this Performance Indicator is not applicable to them, thus was requested for revision in FY 2016. Q3: 3 set of policies/guidelines issued out of the 3 targeted issuances for the 3rd Quarter of FY 2015 or 3/3. Q4: 3 set of policies/guidelines issued out of the 3 targeted issuances for the 4th Quarter of FY 2015 or 3/3. |
| MFO 4 Review and Evaluation Services | | 304000000 | | | | | | | | | | | | |
| Number of agencies' performance reviewed and evaluated | 200 | | 200 | 200 | 200 | 200 | 200 | 199 | 199 | 193 | 193 | 193 | -7 | Q1: The number of agencies' performance reviewed and evaluated per Bureau are as follows: BMB-A became 18 agencies rather than 19 due to the merging of CMDP with CIAP; BMB-B (41); BMB-C (49); and BMB-D (47). Meanwhile, BMB-E reported that to date, the required reports have not yet been received by the bureau; thus no reported actual accomplishments. Q2: The number of agencies' performance reviewed and evaluated per Bureau are as follows: BMB-A (18); BMB-B (41); BMB-C (49); BMB-D (47); and BMB-E (44) Q3: The number of agencies' performance reviewed and evaluated per Bureau are as follows: BMB for Economic Sector (35); BMB for Human Development Sector (41); BMB for Good Governance Sector (28); BMB for Security, Peace, and Justice Sector (49); and BMB for Food Security, Ecological Protection, and Climate Change Sector (41) Q4: The 200 number of agencies during the Budget Preparation FY 2015 is decreased to 193 due to the following instances: a) 3 SPFs are deducted as these are not to be included (administered by BMB-E); NDRRMF, RRP, and E-Gov; b) 6 agencies are merged into 3 (by BMB-E); c) 1 count was deducted from BMB-B due to error; d) DOJ has a new agency - Juvenile Justice Welfare Council The net effect is 193 (computed 200-7=193) |
| Percentage change in the average utilization rate of agencies | 2% | | - | - | - | 2% | 2% | - | a | - | -2.89% | -2.89% | -5% | Accomplishments reported on year-end, with BTB as the data source. This target is one of the uncontrollable by DBM, being dependent on the combined performances of agencies. The DBM being the manager of government funds has already adopted measures to aid disbursement performance with the constitution of Full-time Development Units to enhance agencies' absorptive capacities as well as for the full adoption of the checkless payment mechanism or ADA for bank-payment options. Yet, these were not sufficient to offset the impact of transitional challenges, resulting to low agency utilization of received funds. Calibration of existing systems and procedures to conform with auditing requirements and other transparency measures are still ongoing. a. The figures used actual obligation as of end of September (reporting of SAOB) with the last quarter's obligation estimated based on the January-September outturn. b. Disbursements reflect actual as of October with November-December estimated. c. The numerator being understated and denominator almost actual; the resulting ratio may be on the downside. |
| Percentage of agencies reviewed and evaluated in the prescribed period | 100% | | 100% | 100% | 100% | 100% | 100% | 100% | 100% | 100% | 100% | 100% | 0% | Q1: BMBs-A, B, C, and D have 100% accomplishment. Meanwhile, BMB-E reported that to date, the required reports have not yet been received by the bureau; thus no reported actual accomplishments. Q2: BMBs-A, B, C, and D have 100% accomplishment. Meanwhile, BMB-E have also updated their report which equates to 100% accomplishment. Q3: BMBs-A, B, C, D and E have 100% accomplishment. Q4: BMBs-A, B, C, D and E have 100% accomplishment. |
| Locally-Funded Projects - GAA CY 2015 | | | | | | | | | | | | | | |
| Other Major Programs and Projects | | | | | | | | | | | | | | |
| A. Budget Improvement Project | | 410060001 | | | | | | | | | | | | |
| Software and Network Maintenance and Development Partners | | | Desktop and Network Support = 487 System Support = 565 | Desktop and Network Support = 535 System Support = 655 | Desktop and Network Support = 487 System Support = 565 | Desktop and Network Support = 487 System Support = 565 | Desktop and Network Support = 1996 System Support = 2350 | Desktop and Network Support = 384 System Support = 1463 | Desktop and Network Support = 402 System Support = 1129 | Desktop and Network Support = 588 System Support = 987 | Desktop and Network Support = 363 System Support = 1324 | Desktop and Network Support = 1737 System Support = 4903 | Desktop and Network Support = 259 System Support = 2553 | For 2015, the projected number of system/desktop and network supports are based on the calculated average support attended in 2014. Q1: Desktop and Network Support did not exceed physical targets due to effective maintenance procedures of computers/laptop units (minimal troubleshooting requests). System Support exceeded physical target due to budgetary reforms/policy changes from management and corresponding data alterations and/or system enhancements. Q2: Lesser desktop and Network Support in Q2 (lower than the target) due to effective maintenance procedures of computer/laptop units (minimal troubleshooting requests). Q3: Increase in Desktop and Network Support in Q3 is due to the recent delivery of 186 laptops in Regional and Central Offices. System Support exceeded physical target due to huge requirements relative to budgetary reforms/policy changes from management and corresponding data alterations and/or system enhancements. Q4: Increase in System Support is due to huge requirements relative to budgetary reforms/policy changes and corresponding data changes and/or system enhancements. |

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| Particulars | CY 2015 PHYSICAL TARGETS (per GAA) | UACS CODE | Physical Targets | | | | | Physical Accomplishments | | | | | *Variance as of December 31, 2015 | Remarks/ Reason for Under/Over Performance (Variance) |
|--|---|--------------|--|--|--|---|--|--|---|--|--|--|--|--|
| | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| Maintenance of DBM Production Servers | | | 3 visits and 3 reports | 3 visits and 3 reports | 3 visits and 3 reports | 3 visits and 3 reports | 12 visits and 12 reports | Site visits = 3 Reports = 3 | Site visits = 3 Reports = 3 | Site visits = 2 (July-Aug) Reports = 2 (July-Aug) | Site visits = 15 Reports = 15 | Site visits = 15 Reports = 15 | Site visits = 3 Reports = 3 | Contract implementation: June 2014 - May 2015 New contract implementation: starting June 2015 Per contract deliverables, the service provider is required to conduct at least one (1) monthly visit to DBM for maintenance services and submit a report/recommendation corresponding to the visit. TIM Corporation contract implementation: June 2014 - May 2015. Service has been extended to August 2015. Philippine Data Business Systems started their services in September 2015. Q3: Due to change of maintenance service provider effective September 16, 2015, the first monthly visit for the period Sept-Oct 2015 has not yet been scheduled. Actual visit in the 3Q exceeded the target due to transition activities of the 2 service providers. Q4: The visits in 4Q also exceeded due to pull-out and replacement of harddrives and battery. |
| E-Mail Communication Service (formerly e-mail and DBM Intranet Portal (Google Apps)) | | | 900 email accounts | 900 email accounts | 900 email accounts | 900 email accounts | 900 email accounts | 900 email accounts | 920 email accounts | 920 email accounts | 920 email accounts | 920 email accounts | 20 new email accounts | First year implementation: April 2014 - March 2015; Second year implementation: April 2015 - March 2016 Q1: Guaranteed number of email accounts = 900 + Number of email accounts as needed = 100 Total account provision = 1000 Q2: Guaranteed number of email accounts = 900 Used email accounts as of Q2 = 900 Number of email accounts as needed = 100 Used email accounts as of Q2 = 20 Total account provision = 1000 Available email accounts as of Q2 = 80 ICTSS Administration and maintenance of email accounts (e.g. deletion, addition, editing of current accounts due to resignation, transfer, retirement, or hiring of new employees) Q3: Guaranteed number of email accounts = 900 Used email accounts as of Q3 = 900 Number of email accounts as needed = 100 Used email accounts as of Q3 = 20 Total account provision = 1000 Available email accounts as of Q3 = 80 Q4: Guaranteed number of email accounts = 900 Used email accounts as of Q3 = 900 Number of email accounts as needed = 100 Used email accounts as of Q3 = 20 Total account provision = 1000 Available email accounts as of Q3 = 80 Utilization of additional 20 email accounts from the "as-needed" 100 email accounts. ICTSS Administration and maintenance of email accounts (e.g. deletion, addition, editing of current accounts due to resignation, transfer, retirement, or hiring of new employees) |
| Software Updates Subscription and Database Support | | | Deferred implementation/On-going study | | | | | - | - | - | - | - | - | Market study completed and the Project is recommended for bidding. Procurement will be undertaken in FY 2016 and to be included in ICTSS 2016 APP. |
| PhilGEPS-GIFMIS System Integration | | | 100% | | | 100% | 100% | - | - | Conducted market research to determine the current market price and the Approved Budget for the Contract (ABC) through exploratory | - | - | - | 1. The hiring of a Service Provider for the conduct of the Security and Performance/Load Test for the modernized PhilGEPS is dependent on the implementation of the modernized PhilGEPS. Assumes that there will be no delays in delivery of deliverables. 2. No biddings conducted on the projects under the program due to cancellation of the GIFMIS Project |
| Enterprise Linux Premium Subscription and On-line Support | | | | | | One (1) annual license subscription under new agreement | | - | | One (1) annual license subscription under new agreement | 2 servers running with Redhat Linux | - | - | Contract implementation: September 2014 - August 2015 New Contract Implementation: September 2015 - August 2016 Engagement with IT Group, Inc. on Subscription provisions are: Product Access, Certifications, Updates, Revisions, Upgrades, Flexibility, Network and Support, Long Term Stability Engagement with Wizards Group on Subscription Only. Onsite support is being addressed by in-house experts. |
| Comprehensive Network Management Tool (Axence nVision) | | | 3 visits and 3 reports | 3 visits and 3 reports | 1 visit and 1 report | N/A | Site visits = 7 Reports = 7 | Site visits = 3 Reports = 3 | Site visits = 3 Reports = 3 | Site visits = 1 Reports = 1 | - | Site visits = 7 Reports = 7 | Site visits = 0 Reports = 0 | First year implementation: July 15, 2013 - July 14, 2014 Second year implementation: July 15, 2014 - July 14, 2015 End of contract: July 14, 2015 Q1: Per contract deliverables, the service provider is required to conduct at least one (1) monthly visit to DBM for maintenance services and submit a report corresponding to the visit. Q2: The monthly reports as a result of the site visits for May and June are not yet submitted to ICTSS. Q3: The monthly reports as a result of the site visits for May to July are not yet submitted to ICTSS Q4: No site visits were conducted and no monthly reports as a result of site visits were recorded in Q4. |
| Telecommunication Services | | | CO Avg Uptime Rate=96% RO Avg Uptime Rate=96% | CO Avg Uptime Rate=96% RO Avg Uptime Rate=96% | CO Avg Uptime Rate=96% RO Avg Uptime Rate=96% | CO Avg Uptime Rate=96% RO Avg Uptime Rate=96% | CO Avg Uptime Rate=96% RO Avg Uptime Rate=96% | CO Avg Uptime Rate=98.77% RO Avg Uptime Rate=96.56% | CO Avg Uptime Rate=99.60% RO Avg Uptime Rate=100% RO Avg Uptime Rate=97.25% | CO Avg Uptime Rate=99.60% RO Avg Uptime Rate=98.41% | CO Avg Uptime Rate=99.49% RO Avg Uptime Rate=97.48% | CO Avg Uptime Rate=99.46% RO Avg Uptime Rate=97.42% | CO Avg Uptime Rate=3.46% RO Avg Uptime Rate=1.42% | First year implementation: August 2013 - July 2014 Second year implementation: August 2014 - July 2015 Q1, Q2, Q3: Percentage uptime derived from monthly reports submitted. Start of new contract (FY 2015-2017) engagement starting Q3 Improved hardware infrastructure through the network refresh project implementation resulted to higher service uptime as indicated by the positive (+) variance for both CO and RO average uptime rate. Q4: The increase for number of site visits |
| DBM Data Center Support Services | | | Site visits = 3 Reports = 3 | Site visits = 3 Reports = 3 | Site visits = 3 Reports = 3 | Site visits = 3 Reports = 3 | Site visits = 12 Reports = 12 | Site visits = 3 Reports = 3 | Site visits = 3 Reports = 3 | Site visits = 8 Reports = 8 | Site visits = 12 Reports = 12 | Site visits = 26 Reports = 26 | Site visits = 14 Reports = 14 | First year implementation: October 2014 - September 2015 Second year implementation: October 2015 - September 2016 Q1, Q2: Per contract deliverables, the service provider is required to conduct two (2) regular visits per quarter for check-up and monitoring and one (1) regular check-up per quarter for preventive maintenance purposes. Correspondingly, a report is submitted after completion of visit Q3, Q4: The increase of number of site visits and reporting for Q3 and Q4 is due to the activities relative to the replacement of the Precision Air Conditioning Unit (PACUs) compressor |

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| Particulars | CY 2015 PHYSICAL TARGETS (per GAA) | UACS CODE | Physical Targets | | | | | Physical Accomplishments | | | | | *Variance as of December 31, 2015 | Remarks/ Reason for Under/Over Performance (Variance) |
|---|---|--------------|--|--|----------------|----------------|--|---|--|----------------|----------------|--|---|---|
| | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| Cloud Infrastructure as a Service | | | 346 agencies to submit budget proposal on-line | 346 agencies to submit budget proposal on-line | Not applicable | Not applicable | 346 agencies to submit budget proposal on-line | 95 agencies submitted budget proposal on-line | 182 agencies submitted budget proposal on-line | Not applicable | Not applicable | 182 agencies submitted budget proposal on-line | 164 | End of Contract: March 21, 2015 Start of new contract (FY 2015-2016) engagement end of Q1 Q1: Target is based on the total number of agencies required to submit budget proposal through OSBP. Approximately 30% of enrolled users submitted budget proposals through the OSBP. Variance is attributed to the issuance of National Budget Memorandum No. 123 dated January 28, 2015, Subject: Budget Call for FY 2016. Q2: Approximately 60% of enrolled users submitted budget proposals through the OSBP. Variance is attributed to the tight schedule/requirements. Access to the system started on February 17, 2015 after the issuance of National Budget Memorandum No. 123 dated January 28, 2015, Subject: Budget Call for FY 2016. Variance due to agency's non-compliance to submit budget proposal online is beyond the control of ICTSS. Q4: The change of target from 346 to 304 agencies for Q2 is due to exclusion of Budgetary Support to Government Corporations (BSGC). |
| Budget Process, Transparency, Efficiency, and ICT Systems Improvements | | | 2 events | 2 events | 1 event | 1 event | 6 events | 2 events | 2 events | 2 events | 1 event | 7 events | 1 event | Budget for citizen engagement/outreach/capacity-building efforts of MITHI and Open Data Philippines Q1: 1 ISSP Writing Workshop for MITHI, 1 Open Data Stocktake Workshop for Open Data Philippines Q2: 2 events: APEC Workshop on Fiscal Management through Transparency and Reforms (June 9-10), APEC Senior Finance Officials' Meeting (June 11-12) Q3: 2 events: MITHI Assessment workshop (Sept. 14-15), APEC Finance Ministers Meeting (Sept. 10-12) Q4: 1 event: MITHI Consultation with Government CIOs on Common Use ICT Equipment (Nov. 6) Other expected in Q3: The APEC Secretariat included Open Data Sessions in the agenda of the APEC Senior Finance Officials' Meeting in Cebu, as requested by member economies to promote transparency and openness across the region. |
| Study on Organizational and Staffing Flexibility Development | | | | | | | | - | - | - | - | - | - | Q1, Q2, Q3, Q4: The project is on hold; priority is given to the conduct of compensation and benefits study. |
| Capacity Building of DBM Public Sector Internal Audit | | | | | | | | | | | | | | Q1: The 2015 work program on the capacity building of IAS staff has not commenced because the consultant who will conduct the capacity building activities has not been hired. The conduct of said activities is consistent with the proposed IAS 2015 OPCR Q2: As of June 2015, a consultant who will perform the structured training, coaching and mentoring to capacitate and strengthen the skills of IAS staff has not been hired. Nonetheless, the IAS staff conducted the activities in preparation for the Internal Audit Strategic Plan which has been completed, while the corresponding Report is on its final stage of preparation; thus, amounting to 90% accomplishment for the Quarter. Upon said completion, the IAS Strategic Plan will be submitted to the Secretary for approval. Q3: The absence of a Consultant significantly affected the time allotted for the conduct of each activity by extending the work program to almost 4 months. Originally, the completion of said plan is set on June 30 for submission on July 1. Per the attached Revised Annex A, 90% of the audit activities were completed on June 26, and the Internal Audit Strategic Plan was submitted on July 29, 2015 for approval of the Secretary. Q4: The formulation of Internal Audit Strategic Plan is completed. While for the conduct of Management Audit update is that with the approval of the IASP on November 23, 2015, IAS started related audit activities pursuant to the Secretary's instructions. |
| a) Formulation of Internal Audit Strategic Plan | | | 100% | | | | 100% | 0% | 90% | 10% | 0% | 100% | 0% | Q1: To be conducted within 3 months after a consultant is hired. Q2, Q3: Based on the original FY 2015 IAS Work Program, the formulation of the IASP is scheduled for 3 months only, if the Consultant is hired at the start of the year, who is to capacitate and strengthen the skills of IAS staff. However, the Consultant was not hired, which affected the IASP per IAS report. During Q2, IAS completed 90% of the audit activities per the IAS Work Program, as reported in the BAR 1 as of June 30, 2015. The remaining 10% of these audit activities includes the write-up of the components of the IASP finished on July 29, 2015, that was 1 day earlier than the deadline. This IASP was only approved by the Secretary on November 23, 2015. The treatment followed the DBM COA Joint Circular No 2014-1 which reflects accomplishments in a cumulative approach. The performance of Q2 and Q3 are interwoven, and are measured in terms of % of completion of activities that produce only one (1) Report that is the IASP. Q4: The formulation of Internal Audit Strategic Plan was completed. |
| b) Conduct of Management Audit | | | | | 100% | | 100% | 0% | 0% | 0% | 100% | 100% | - | Q1, Q2: To be conducted within 6 months after approval of the Secretary of the Internal Audit Strategic Plan. Q3: IAS stated to start the audit activities identified in the Work Program, after the approval of the Internal Audit Strategic Plan by the Secretary, which will be conducted for six (6) months. Q4: With the approval of the IASP on November 23, 2015, IAS started related audit activities pursuant to the Secretary's instructions. It had reported that on December 3-18, it had completed the 1st two (2) activities under the IAS Audit Work Plan for December 2015 to May 2016. These will go through more verification of actual accomplishments based on existing policies and practices. |
| No. of assignments/reports acted upon by IAS compared to the total assignments/reports assigned by the Secretary/OSEC thru corresponding office orders and/or directives during ExCom/ManCom meetings | | | 100% | 100% | 100% | 100% | 100% | 100% | - | 100% | 100% | 100% | 0% | Q1: The DBM Organizational Assessment Report was completed and submitted to the Office of the Secretary on March 19, 2015. The Secretary gave an instruction on October 13, 2014 during the ExCom meeting for IAS to conduct an assessment of the DBM organization. Q2: No special assignment given for this Quarter. Q3: The report on the Study on the Delegation of Signing Authority - Phase III was completed and submitted on September 16, 2015. Undersecretary Clare G. Amador gave instructions on July 1, 2015 for IAS to expand the review of signing authority for certain DBM documents, to determine which should be signed by the Secretary and those that may be further delegated to other officials. Q4: A Report on the Perception Assessment was completed and submitted on November 9, 2015, with the main objective of determining DBM employees' appreciation and aspirations on the good governance and budget reforms implemented during the Aquino administration, as well as the internal management reforms initiated by Secretary Abad. |

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| Particulars | | | CY 2015 PHYSICAL TARGETS (per GAA) | UACS CODE | Physical Targets | | | | | Physical Accomplishments | | | | | *Variance as of Decembe r 31, 2015 | Remarks/ Reason for Under/Over Performance (Variance) |
|-------------|--|---|---|--------------|---|--|---------|---------|---|---|---------|---------|--|---|---|---|
| | | | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| | | No. of reportorial requirements complied by IAS compared to the total reportorial requirements of internal and external stakeholders | | | 100% | 100% | | | 100% | 100% | 100% | 90% | 92% | 95% | -5% | Q1, Q2: Verification was conducted on the reportorial requirements received for the Q1 and Q2, as derived by counting the number of reports submitted on time divided by the Total Reports received divided by 100 (Q1: 8 out of 8; Q2: 11 out of 11) Q3: IAS has reported 90% adjusted rating with 18 Reports complied and submitted within the deadline divided by the total Reports received in percentage. Q4: 91.67% = 11 Reports out of 12 total reportorial requirements. |
| | | Establishing the DBM Public Financial Management Apprenticeship Program | | | | | | | - | - | - | | | - | - | Per coordination with Director Virginia Follosco on July 29, 2015, there was a verbal instruction from Undersecretary Clare Amador NOT to pursue the project. Thus, no targets and accomplishments were provided in FY 2014 and FY 2015. |
| | | DBM Network Refresh | | | Firewall Appliance = 7 Routers = 3 Switches = 6 | Wireless Access Points (WAP for 16 ROs) = 32 units WAP power supplies for 16 ROs = 32 units WAN Optimizer (DBM-CO, ROs) = 1 unit Web Application Accelerator = 1 unit WAN Optimizer = 1 unit Bandwidth Manager = 1 unit Router Upgrade for Ros (CISCO 2800 Series) = 7 units | | | Total items deployed to DBM ROs: Firewall Appliance (F) = 9 Routers (R) = 6 Switches (S) = 8 Breakdown of items deployed to ROs: III - F V - F,R,S VI - F,S VII - F,R,S IX - F,R,S X - F,R,S XI - F,R,S XII - F,S XIII - F,R,S | Total items deployed to DBM ROs: Firewall Appliance (F) = 1 Routers (R) = 1 Switches (S) = 1 Breakdown of items deployed to ROs: VIII - F,R, S | N/A | - | Total items deployed to DBM ROs: Firewall Appliance (F) = 10 Routers (R) = 7 Switches (S) = 9 Breakdown of items deployed to ROs: III - F V - F,R,S VI - F,S VII - F,R,S VIII - F,R,S IX - F,R,S X - F,R,S XI - F,R,S XII - F,S XIII - F,R,S | Firewall Appliance = 0 Routers = 0 Switches = 0 | Network Refresh Project for 2014 started in the 4th Quarter. Completed as of December 2014: RO I, RO II, CAR, 4A, 4B, NCR Target completion for 1Q of 2015: RO III, V, VI, VII, IX, X, XI, XII, XIII Q1: Procurement of Network Refresh Project for 2015 is targeted on Q3. The targets are derived from Office Order No. 2014-622 dated November 25, 2014 Subject: Network Refresh Regional Implementation. Actual accomplishment exceeded the targets due to combined deployment of old and newly acquired network devices. Q2: The roll-out of the Network Refresh Project was completed in April 2015. Roll-out extended until Q2 due to request for change of schedule of Regions III, V, and VII. DBM RO VIII was scheduled in April 2015 considering that the completion of provisioning and commissioning of the MPLS link on-site and handover by Globe was in March 2015. Q4: Market study for the 2nd phase network refresh project has been completed and has been recommended for bidding. Procurement will be made in FY 2016. | |
| | | Video Conferencing facility | | | | Endpoint packages = 17 units (minimum) Hardware packages = 17 units (minimum) Software and Licences = 1 lot | | | - | - | - | - | - | - | - | Targets are considered as one (1) package per office (ROs and CO). Target period of implementation is currently moved to Q4 of 2015. Project is "on-hold". |
| | | Integrated Network Monitoring Tool | | | | 1000 licences | | | - | - | - | - | - | - | - | Targeted number of licenses for procurement are based on the total email account provision. Integrated in the Network Refresh Project. |
| | | Quality Management System Certification (ISO 9001:2008) - Establishing the QMS (Approved QMS Structure) - Documenting the QMS (Approved Quality Manual) - QMS Gap Assessment and Readiness Review (No. of participants trained) - Implementing the QMS (Gap assessment report and recommendations prepared) | | | 7 ROs for sample Stage 2 Audit, as selected by the CIP | 19 Bureaus/ Services/ Offices in the Central Office whose schedule of Stage 2 Audit has not yet been finalized by the CIP. | | 7 | - | - | 17 | - | - | 24 | -2 | Q1: As part of the Stage 2 Audit, seven (7) DBM Regional Offices (ROs) were audited by the Certification International Philippines, the third party certifying body engaged by the Department for the ISO 9001:2008 QMS Initial Certification, as follows: Batch 1: RO XI (Feb. 18, 2015); RO IV-B (Feb. 23, 2015); RO V (Feb. 23-24, 2015); RO III (Feb. 24, 2015) Batch 2: RO VI (Mar. 16-17, 2015); RO XIII (Mar. 16-17, 2015); RO VIII (Mar. 19, 2015) Q2: In a meeting of the DBM QMS Management, headed by Assistant Secretary Myrna Chua, with the CIP Managing Director in May 2015, it was agreed upon that said audit in the CO would be conducted before the effectivity of the placement of personnel in the different Bureaus under the BPE Group on July 15, 2015 or upon submission by the DBM of the FY 2016 budget documents to the APO Production Unit for printing, whichever comes earlier. However, in consideration of the BPE Group's request to reschedule said audit after the completion of the budget preparation-related activities by the end of July, the DBM QMS Secretariat is currently preparing an addendum to the aforesaid DOs to move the effectivity of the placement of the BPE Group personnel. Thus, as coordinated with the CIP, the Stage 2 Audit in the DBM CO is targeted to be conducted on August 4-7, 2015. |
| | | | | | | The reduced number of B/S/O included in the Audit Plan took into consideration the integration of the personnel and functions of BMBs F and G in the BMB for Good Governance Sector (BMB-C), and PEMB, separately, per | | | | | | | | | | Q3: The Stage 2 Audit (On-Site) Audit of DBM B/S/Os concerned, which was done in three (3) batches, has been completed. Specifically, the third and last batch of the Stage 2 Audit was conducted by the CIP on August 25-28, 2015 in the DBM Central Office. While SPIB indicated that 19 B/S/Os are targeted to be audited in the Central Office, only 17 B/S/Os have been included in the audit plan that was submitted by the CIP and concurred by the DBM Overall Quality Management Representative. The reduced number of B/S/Os included in the Audit Plan considered the integration of the personnel and functions of BMBs F and G under BMB for Good Governance Sector (BMB-C) and PEMB, respectively, consistent with Department Order 2014-7. Q4: No other DBM B/S/Os were audited during Q4 since the conduct of the Stage 2 Audit (On-site) Audit was completed by Q3. |

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|--|------------------------------------|-----------|--|--|---|--|-------|--|--|--|--|--|-----------------------------------|--|
| | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| Government Quality Management Program (GQMP) | | | | | | | | | | | | - | - | Q1: The proposed targets for FY 2015 for the GQMP have yet to be approved by the Government Quality Management Committee. (GQMC) Q2: No targets provided for the purpose at this time since GQMC meeting scheduled on April 14, 2015 was cancelled. However, SPIB is preparing a memorandum for the members of the GQMC requesting anew the conduct of the Recognition Ceremony for ISO 9001:2008 Certified Agencies this year, with the President as the Guest of Honor, to give due recognition to the established QMS in government agencies that espouse conformity of their respective system(s)/process(es) with the ISO 9001:2008 standards. Q3: As indicated in SPIB's memorandum to the Planning and Management Service dated September 22, 2015 regarding the updating of the documentary requirements for the grant of the FY 2015 Performance-Based Bonus, the Bureau could no longer provide any FY 2015 target for the implementation of the GQMP since the GQMC, which is being co-chaired by the Department of Budget and Management and the Department of Trade and Industry, has yet to meet to discuss said target. Q4: The Recognition Ceremony for ISO 9001:2008 Certified Agencies did not happen as planned during December 2015, and is planned to be pursued in FY 2016. Nonetheless, please note that a Memorandum to the President from the GQMC seeking his consideration/approval with regard to the conduct of the Recognition Ceremony for ISO 9001:2008 Certified Agencies before the year ends, which is one of the activities under the GQMP, has been signed by the GQMC members and would be transmitted to the Office of the President once the DBM has been issued the ISO 9001:2008 QMS Certification. |
| Preparation of 250 Class Specifications Project | | | | | | 250 class specifications | | - | - | - | Signing of contract for the engagement of Job Order for the preparation of the 250 class specifications | - | - | Project on hold; priority on Compensation survey for whole of government. Milestone results on the preparation of the class specifications by Q4. |
| Survey in Compensation in the Public Service | | | Signing of contract with the consultants | Results of the survey by the 2nd quarter of 2015 Report on Compensation Analysis; Salary | | | | Contract awarded to Towers Watson | Initial result of the survey was presented to DBM Principals | Prepared Memorandum for the President dated September 15, 2015 re: Compensation Adjustments for Government Personnel | Approved Draft SSL submitted to Congress for enactment | Contract awarded to Towers Watson | | Q1: The contract for the conduct of compensation and benefits study was awarded to Towers and Watson in the First Quarter of CY 2015. The conduct of the study is ongoing and milestone results on the study will be submitted to the DBM Secretary by the Second Quarter. Q2: Initial draft salary schedule was presented to DBM Executive Committee. Q3: Prepared Memorandum for the President dated September 15, 2015 re: Compensation Adjustments for Government Personnel Q4: No more activity for Q4 as Memorandum was prepared in Q3 for signature of the President. |
| B. Philippine Government Electronic Procurement Systems - PhilGEPS | | 410060002 | 100% 1. Delivery and Installation of System Hardware 2. Configuration Design Blue Print Sign-Off 3. Data Migration Plan Sign-Off 4. Review of the Detailed Specifications Document (DSD) for Phase 1A and 1B | 100% 1. Data Migration Report Sign-Off 2. Testing for Phase 1A and Phase 1B 3. Delivery and Installation of Modernized PhilGEPS 4. Implementation of Phase 1A and 1B Functionalities | 100% Submission of the following documents that form part of the deliverables for Phase 1C and Phase 2 - Disaster Recovery Management Activation Report for Phase 1C - Implementation Plan - Training Plan - Training Manuals and User Guides - Training Delivery | 100% 1. Submission of the Testing Plan 2. User Acceptance Testing (UAT) for Phase 1C and Phase 2 3. Implementation of Phase 1C and 2 Functionalities 4. Submission | | Completed the Delivery of the following Documents: 1. Delivery and Installation of System Hardware 2. Review of the Detailed Specifications Document (DSD) for Phase 1A and 1B | Software Configuration, Data Migration and Development of Test Cases are ongoing. Phase 1C and 2 submission of the documents that form part of the deliverables for Phase 1C and Phase 2 - On-Going | Phase 1A & 1B - Data Migration Plan, Test Plan, Training Plan are being finalized - Conducted batch 1 and 2 of system walkthrough - Revision of test cases are on going - Started internal system testing Phase 1C and 2 submission of the documents that form part of the deliverables for Phase 1C and Phase 2 - On-Going | Completed the Delivery of the following Documents: 1. Delivery and Installation of System Hardware 2. Review of the Detailed Specifications Document (DSD) for Phase 1A and 1B | Completed the Delivery of the following Documents: 1. Delivery and Installation of System Hardware 2. Review of the Detailed Specifications Document (DSD) for Phase 1A and 1B | | Q1: The Physical Targets indicated are consistent with the PhilGEPS Modernization Project Schedule which include the Delivery of Phases and Monthly Payment for Maintenance and Operation. Assumes that there will be no delays in the delivery of deliverables. Q2: Delay in delivery schedule of Phase 1A and 1B functionalities is due to a need to capture additional details and difficulty in configuring the COTS considering performance in the system. Q3: The new schedule for the implementation of Phase 1A and 1B functionalities of PhilGEPS Modernization will be on December 15, 2015, as submitted by the Un-incorporated Joint Venture (UJV). Q4: Delayed in the configuration and delivery of the software by the UJV. The configuration of the software based on the requirement specifications has not been completed and not delivered to allow for the conduct of the user acceptance testing (UAT). Configuration of the software is being handled by the development team of the service provider. Other expected deliverables are the delivery and installation of the modernized system. |
| C. Public Financial Management Program | | 410060003 | | | | | | | | | | | | This refers to allocated budget for citizen engagement/outreach/capacity-building efforts of MITHI and Open Data Philippines. |
| Government Integrated Financial Management Information System (GIFMIS) Project Unit /Budget Treasury Management System | | | Hiring of 21 (unfilled) contractual positions for the Functional team Submission of the PFM Bill to Congress and Senate | Completion of the BTMS system design and approval of the same by the PFM Steering Committee | Preparation of bid documents and TOR for the procurement of the BTMS service provider Procurement of the BTMS Systems Integration Provider | Award of the BTMS Service Provider Commence development of BTMS by winning service provider | | Filled one (1) position for the Functional Team through secondment status Drafted PFM Bill submitted to Congress and Senate by March 2015 | Interviewed nine (9) applicants for the post Final system design for the development of the financial management information system completed | Hired one (1) applicant as of July 1, 2015 Prepared and finalized TOR and bid documents for the procurement of BTMS Systems Integration Provider Provided technical support for the procurement of the BTMS Systems Integration Provider | BTMS procurement was finished and awarded on November 26, 2015 to JV of Innove Communications and Free Balance It also had the BTMS kick-off conducted. | | | The BTMS and its Project Unit will have the same accomplishments as the Project Unit serves as the project officers of the BTMS. The coverage was scaled down to DBM and BTr only. It will only cover the budget execution and the budget accountability phases. |
| Philippine Public Financial Management Program - Budget and Treasury Management System (PPFMP - BTMS) *Funded through the E-Government Fund and 2014 Budget Improvement Project (BIP) Funds | | | | Completion of the BTMS system design and approval of the same by the PFM Steering Committee | Preparation of bid documents and TOR for the procurement of the BTMS service provider Procurement of the BTMS Systems Integration Provider | Award of the BTMS Service Provider Commence development of BTMS by winning service provider | | | Final system design for the development of the financial management information system completed | Final TOR and bid documents for the procurement of BTMS Systems Integration Provider | BTMS procurement was finished and awarded on November 26, 2015 to JV of Innove Communications and Free Balance It also had the BTMS kick-off conducted. | | | |

PHYSICAL REPORT OF OPERATION
As of December 31, 2015

Department : DEPARTMENT OF BUDGET AND MANAGEMENT (DBM)
Agency : Office of the Secretary (OSEC)
Operating Unit :
Organization Code (UACS) :

Current Year Appropriations
Supplemental Appropriations
Continuing Appropriations
Off-Budget Account

| Particulars | CY 2015 PHYSICAL TARGETS (per GAA) | UACS CODE | Physical Targets | | | | | Physical Accomplishments | | | | | *Variance as of December 31, 2015 | Remarks/ Reason for Under/Over Performance (Variance) |
|---|---|--------------|---|--|---|--|-------|--|---|--|--|-------|---|--|
| | | | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr | Total | | |
| GIFMIS/ BTMS System Integration Provider | | | | | Award contract to winning bidder; Commence development of BTMS by winning service provider. | | | - | - | - | - | - | - | This project was discontinued in January 2015 due to scalability concerns by the NEDA Board and the Office of the President. |
| Independent Verification and Validation | | | | | Procurement of Consulting firm for the 3rd party Validation and Verification | | | - | - | - | - | - | - | Due to the discontinued GIFMIS, this activity was delayed and temporarily suspended. This shall continue pending the award of the BTMS contract and start of BTMS project development and implementation, as projected to start in January 2016. |
| Comprehensive Human Resource Information System (CHRIS) | | | Conduct of End-Users Training and User Acceptance Testing (UAT) | Manager's Training DBM June Payroll Test Run and validation (Live deployment of CHRIS at DBM) | DBM Payroll Parallel Run and Deployment | Roll-out to additional five (5) agencies | | Conduct End-Users' Training and User Acceptance Training | DBM June Payroll test run was conducted and validated | Parallel run suspended per request of OCIO due to shortcomings of the JV and its proposed software solution. Review and signed of business requirements of CHRIS requirements for core Functional modules to run the payroll. | The roll-out to 5 agencies will no longer be pursued due to the inability of the JV and its software solution to deliver its commitments. Submitted to the Procurement Service a report on the contract violations of the service provider to support request for contract termination. | - | - | Q3: Contractor requested for change in approach and suspended payroll parallel run at DBM to review business requirements. Contractor proposed a gap analysis but only produced business requirements document and was delayed in submitting outputs. Q4: The Advisory Committee advised the CHRIS Project Team to consider termination of contract with the JV following the presentation of the recurring unresolved technical deficiencies and difficulties due to non-deployment of the Key Personnel and breach of contract. |

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| Prepared By:  | In Coordination with:  | Approved By:  |
| OIC-Director Teresita M. Salud, PMS | Ms. Eden Pangilinan, Budget Division, FS | Undersecretary Dara C. Acusar |
| Date:  | Date:  | Date: Feb 5, 2016 |
| Note: * | Variance computed as the difference between accomplishments as of 4th Quarter vis-à-vis cumulative target as of 4th Quarter | |