

STRATEGIC OBJECTIVES

SECTOR OUTCOME : Sound, stable and supportive macroeconomic environment sustained

ORGANIZATIONAL
OUTCOME : Investor-based capital market and business sector broadened

PERFORMANCE INFORMATION

ORGANIZATIONAL OUTCOMES (Oos) / PERFORMANCE INDICATORS (PIs)	2024 GAA Targets	Actual
Investor-based capital market and business sector broadened		
CORPORATE AND CAPITAL MARKET REGULATORY PROGRAM		
SCORE-SRC AND RCC COMPLIANCE OF REGULATED ENTITIES		
Outcome Indicator(s)		
1. Average compliance rate of regulated entities increased	80.29%	84.75%
2. Percentage of retail participation in the capital market/various investment products increased	1.36%	1.19%
Output Indicator(s)		
1. Percentage of regulated entities monitored	106.55%	100%
GEARING UP! - THE ENFORCEMENT AND INVESTOR PROTECTION PROGRAM		
Outcome Indicator(s)		
1. Case disposition rate (i.e. either filed in court with DOJ, dismissed by SEC, revoked by SEC, fined by SEC, archived by SEC within prescribed time) increased	50% within processing time of 210 days (complex) and 365 days (highly technical). (In consideration of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)	100%

Output Indicator(s)		
1. Percentage of tips, referrals and complaints acted upon (i.e. acknowledged, verified, referred) within prescribed time	50% within the period as prescribed under Section 5, R.A. No. 6713. (In view of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)	100%

SEC CAN! - COMMUNICATION, ADVOCACY AND NETWORK

Outcome Indicator(s)		
1. Equity market capitalization relative to GDP (%) increased	96.80%	75.68%
2. Size of local currency bond market to GDP (%) increased	60.65%	48.94%
Output Indicator(s)		
1. Number of investor education activities conducted	415	912

PERFORMANCE INFORMATION

ORGANIZATIONAL OUTCOMES (Oos) / PERFORMANCE INDICATORS (PIs)	Baseline	2025 Targets	2026 NEP Targets
Investor-based capital market and business sector broadened			
CORPORATE AND CAPITAL MARKET REGULATORY PROGRAM			
SCORE-SRC AND RCC COMPLIANCE OF REGULATED ENTITIES			
Outcome Indicator(s)			
1. Average compliance rate of regulated entities increased	70.00%	75.88%	75.00%
2. Percentage of retail participation in the capital market/various investment products increased	1.21%	1.52%	1.15%
Output Indicator(s)			
1. Percentage of regulated entities monitored	89.54%	78.21%	86.92%
GEARING UP! - THE ENFORCEMENT AND INVESTOR PROTECTION PROGRAM			
Outcome Indicator(s)			
1. Case disposition rate (i.e. either filed in court with DOJ, dismissed by SEC, revoked by SEC, fined by SEC, archived by SEC within prescribed time) increased	50% within processing time of 210 days (complex) and 365 days (highly technical). (In consideration of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)	50% within processing time of 210 days (complex) and 365 days (highly technical). (In consideration of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)	60% (In consideration of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)

Output Indicator(s)

1. Percentage of tips, referrals and complaints acted upon (i.e. acknowledged, verified, referred) within prescribed time

50% within processing time of 210 days (complex) and 365 days (highly technical). (In consideration of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)

50% within the period as prescribed under Section 5, R.A. No. 6713. (In view of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)

65% (In consideration of the full implementation of R.A. No. 11765 or the Financial Products and Services Consumer Act which will have a huge impact on the workload and rate of disposition)

SEC CAN! - COMMUNICATION, ADVOCACY AND NETWORK

1. Equity market capitalization relative to GDP (%) increased

68.98%

69.02%

71.65%

2. Size of local currency bond market to GDP (%) increased

49.00%

49.09%

51.74%

Output Indicator(s)

1. Number of investor education activities conducted

205

112

554